

## FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENTApplicant:  
Case:  
Serial No.:  
Filing Date:  
Group:Erhart et al.  
502073-A-01-US (Erhart)  
~~Unassigned~~ 10/602,168  
~~Concurrently Herewith~~ June 24, 2003  
~~Unassigned~~ 2645

## U.S. PATENT DOCUMENTS

EXAMINER	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE
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## FOREIGN PATENT DOCUMENTS

EXAMINER	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO
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## OTHER DOCUMENTS

EXAMINER	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
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|------|----|--|
| G.G. | 1. | Jennifer Chu-Carroll, "A Statistical Model for Discourse Act Recognition in Dialogue Interactions," <a href="http://citeseer.nj.nec.com/20046.html">http://citeseer.nj.nec.com/20046.html</a> (1998) No Month  |
| G.G. | 2. | J.G.A. Dolfig and A. Wendemuth, "Combination Of Confidence Measures In Isolated Word Recognition," Proc. of the Int'l Conf. on Spoken Language Processing, <a href="http://citeseer.nj.nec.com/dolfig98combination.html">http://citeseer.nj.nec.com/dolfig98combination.html</a> (1998) No Month     |
| G.G. | 3. | Anand R. Setlur et al., "Correcting Recognition Errors Via Discriminative Utterance Verification," Proc. Int'l Conf. on Spoken Language Processing, <a href="http://citeseer.nj.nec.com/setlur96correcting.html">http://citeseer.nj.nec.com/setlur96correcting.html</a> (1996) No Month              |
| G.G. | 4. | Andreas Stolcke et al., "Dialog Act Modeling for Conversational Speech," Proc. of the AAAI-98 Spring Symposium on Applying Machine Learning to Discourse Processing, <a href="http://citeseer.nj.nec.com/stolcke98dialog.html">http://citeseer.nj.nec.com/stolcke98dialog.html</a> (1998) No Month   |
| G.G. | 5. | Gethin Williams and Steve Renals, "Confidence Measures Derived From An Acceptor HMM," Proc. Int'l Conf. on Spoken Language Processing (1998) No Month  |
| G.G. | 6. | Helen Wright, "Automatic Utterance Type Detection Using Suprasegmental Features," Centre for Speech Technology Research, University of Edinburgh, Edinburgh, U.K., <a href="http://citeseer.nj.nec.com/wright98automatic.html">http://citeseer.nj.nec.com/wright98automatic.html</a> (1998) No Month |
| G.G. | 7. | Lin Zhong et al., "Improving Task Independent Utterance Verification Based On On-Line Garbage Phoneme Likelihood," <a href="http://www.ee.princeton.edu/~lzhong/publications/report-UV-2000.pdf">http://www.ee.princeton.edu/~lzhong/publications/report-UV-2000.pdf</a> (2000) No Month.            |

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

## FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT

Applicant: Erhart et al.  
 Case: 502073-A-11-EP (Erhart)  
 Serial No.: 10/602,168  
 Filing Date: June 24, 2003  
 Group: ~~Unassigned~~ 2645

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE
G.G.	US 2002169606	11/14/2002	BANTZ et al.	704/235	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO
G.G.	WO 03052739	6/26/2003			✓
G.G.	WO 0036591	6/26/2000			✓

## OTHER DOCUMENTS

EXAMINER INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.

Examiner

Date Considered

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